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<b>Substitute for form 1448/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/785,890	
			Filing Date	February 18, 2001	
			First Named Inventor	Robert M. Moore	
			Art Unit	1616	
			Examiner Name	A. N. Pryor	
Sheet	1	of	11	Attorney Docket Number	SU-7073-L

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
/A.P./	AA*	US-6,037,318		03-14-2000	Na et al.	
	AB*	US-2,184,886		12-26-1939	Muskat, et al.	
	AC*	US-2,443,429		06-15-1948	Marks	
	AD*	US-2,580,808		01-01-1952	Marks, et al.	
	AE*	US-2,662,855		12-12-1953	Kamlett	
	AF*	US-2,779,764		01-29-1957	Paterson	
	AG*	US-2,815,311		12-03-1957	Ellis et al.	
	AH*	US-2,913,460		11-17-1959	Brown, et al.	
	AI*	US-2,929,816		02-22-1960	Chamberlain	
	AJ*	US-2,971,959		02-14-1961	Waugh, et al.	
	AK*	US-3,147,254		09-01-1964	Paterson	
	AL*	US-3,147,259		09-01-1964	Paterson	
	AM*	US-3,152,073		10-06-1964	Morton	
	AN*	US-3,170,883		02-23-1965	Owen et al.	
	AO*	US-3,222,276		12-07-1965	Belohlav et al.	
	AP*	US-3,308,062		03-07-1967	Gunther	
	AQ*	US-3,328,294		06-27-1967	Self et al.	
	AR*	US-3,412,021		11-19-1968	Paterson	
	AS*	US-3,429,668		02-25-1969	Gaska, et al.	
	AT*	US-3,519,569		07-07-1970	Diaz	
	AU*	US-3,558,503		01-26-1971	Goodenough et al.	
	AV*	US-3,589,859		06-29-1971	Foroulis	
	AW*	US-3,711,246		01-16-1973	Foroulis	
	AX*	US-3,767,586		10-23-1973	Rutkiewicz	
	AY*	US-3,749,672		07-31-1973	Golton et al.	
	AZ*	US-3,850,833		11-26-1974	Koceich et al.	
	AA1*	US-4,032,460		06-28-1977	Zilch et al.	
	AB1*	US-4,235,599		11-25-1980	Davis et al.	
	AC1*	US-4,237,090		12-02-1980	DeMonbrun et al.	
	AD1*	US-4,295,932		10-20-1981	Pocius	
	AE1*	US-4,297,224		10-27-1981	Macchiarolo et al.	
	AF1*	US-4,392,799		07-12-1983	Shikano et al.	
	AG1*	US-4,427,435		01-24-1984	Lorenz et al.	
	AH1*	US-4,451,376		05-29-1984	Sharp	
	AI1*	US-4,465,598		08-14-1984	Darlington et al.	
	AJ1*	US-4,476,930		10-16-1984	Watanabe	
	AK1*	US-4,490,308		12-25-1984	Fong et al.	
	AL1*	US-4,491,507		01-01-1985	Herklotz et al.	
	AM1*	US-4,539,071		09-03-1985	Clifford et al.	
	AN1*	US-4,546,156		10-08-1985	Fong et al.	
	AO1*	US-4,557,926		12-10-1985	Nelson et al.	
	AP1*	US-4,566,973		01-28-1986	Masler, III et al.	
	AQ1*	US-4,595,517		06-17-1986	Abadi	
	AR1*	US-4,604,431		08-05-1986	Fong et al.	
	AS1*	US-4,642,194		02-10-1987	Johnson	
/A.P./	AT1*	US-4,643,835		02-17-1987	Koeplin-Gall et al.	

Examiner Signature	/Alton Pryor/	Date Considered	01/28/2008
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
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			Art Unit	1616	
			Examiner Name	A. N. Pryor	
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/A P /	AU1*	US-4,661,503	04-28-1987	Martin et al.	
	AV1*	US-4,680,339	07-14-1987	Fong	
	AW1*	US-4,703,092	10-27-1987	Fong	
	AX1*	US-4,711,724	12-08-1987	Johnson	
	AY1*	US-4,752,443	06-21-1988	Hoots et al.	
	AZ1*	US-4,759,852	07-26-1988	Trulear	
	AA2*	US-4,762,894	08-09-1988	Fong et al.	
	AB2*	US-4,777,219	10-11-1988	Fong	
	AC2*	US-4,801,388	01-31-1989	Fong et al.	
	AD2*	US-4,802,990	02-07-1989	Inskeep, Jr.	
	AE2*	US-4,822,513	04-18-1989	Corby et al.	
	AF2*	US-4,846,979	07-11-1989	Hamilton	
	AG2*	US-4,872,999	10-10-1989	Schild et al.	
	AH2*	US-4,883,600	11-28-1989	MacDonald et al.	
	AI2*	US-4,886,915	12-12-1989	Favstritsky	
	AJ2*	US-4,898,686	02-06-1990	Johnson et al.	
	AK2*	US-4,906,651	03-06-1990	Hsu	
	AL2*	US-4,923,634	05-08-1990	Hoots et al.	
	AM2*	US-4,929,424	05-29-1990	Meler et al.	
	AN2*	US-4,929,425	05-29-1990	Hoots et al.	
	AO2*	US-4,966,716	10-30-1990	Favstritsky et al.	
	AP2*	US-4,992,209	02-12-1991	Smyk et al.	
	AQ2*	US-4,995,987	02-26-1991	Whitekettle et al.	
	AR2*	US-5,034,155	07-23-1991	Soeder et al.	
	AS2*	US-5,035,806	07-30-1991	Fong et al.	
	AT2*	US-5,047,164	09-10-1991	Corby et al.	
	AU2*	US-5,055,285	10-08-1991	Duncan et al.	
	AV2*	US-5,118,426	06-02-1992	Duncan et al.	
	AW2*	US-5,120,452	06-09-1992	Ness et al.	
	AX2*	US-5,120,797	06-09-1992	Fong et al.	
	AY2*	US-5,130,033	07-14-1992	Thornhill et al.	
	AZ2*	US-5,141,652	08-25-1992	Moore, Jr. et al.	
	AA3*	US-5,179,173	01-12-1993	Fong et al.	
	AB3*	US-5,192,459	03-09-1993	Tell et al.	
	AC3*	US-5,194,238	03-16-1993	Duncan et al.	
	AD3*	US-5,196,126	03-23-1993	O'Dowd et al.	
	AE3*	US-5,202,047	04-13-1993	Corby et al.	
	AF3*	US-5,209,934	05-11-1993	Egis, Jr. et al.	
	AG3*	US-5,259,985	11-09-1993	Nakanishi et al.	
	AH3*	US-5,264,136	11-23-1993	Howarth et al.	
	AI3*	US-5,389,384	02-14-1995	Jooste et al.	
	AJ3*	US-5,414,652	05-09-1995	Mieda et al.	
	AK3*	US-5,424,032	06-13-1995	Christensen et al.	
	AL3*	US-5,429,723	07-04-1995	Atkinson et al.	
	AM3*	US-5,443,849	08-22-1995	Corby et al.	
	AN3*	US-5,460,833	10-24-1995	Andrews et al.	
	AO3*	US-5,464,636	11-07-1995	Hight et al.	
	AP3*	US-5,525,241	06-11-1996	Clavin et al.	
	AQ3*	US-5,527,547	06-18-1996	Hight et al.	
/A, P, /	AR3*	US-5,589,106	12-31-1996	Shim et al.	

Examiner Signature	/Alton Pryor/	Date Considered	01/28/2008
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		Art Unit	1616		
		Examiner Name	A. N. Pryor		
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A.P./	AS3*	US-5,607,619	03-04-1997	Dadgar et al.	
	AT3*	US-5,679,239	10-21-1997	Blum et al.	
	AU3*	US-5,683,654	11-04-1997	Dallmier et al.	
	AV3*	US-5,688,515	11-18-1997	Kuechler et al.	
	AW3*	US-5,795,487	08-18-1998	Dallmier et al.	
	AX3*	US-5,900,512	05-04-1999	Elnagar et al.	
	AY3*	US-5,922,745	07-13-1999	McCarthy et al.	
	AZ3*	US-5,942,126	08-24-1999	Dallmier et al.	
	AA4*	US-6,007,726	12-28-1999	Yang et al.	
	AB4*	US-6,015,782	01-18-2000	Petri et al.	
	AC4	US-1,995,639	03-26-1935	Henderson	
	AD4*	US-6,068,861	05-30-2000	Moore, Jr. et al.	
	AE4*	US-6,069,142	05-30-2000	Gaffney et al.	
	AF4*	US-6,110,387	08-29-2000	Choudhury et al.	
	AG4*	US-6,123,870	09-26-2000	Yang et al.	
	AH4*	US-6,136,205	10-24-2000	Dallmier et al.	
	AI4*	US-6,156,229	12-05-2000	Yang et al.	
	AJ4*	US-6,270,722	08-07-2001	Yang et al.	
	AK4*	US-6,287,473	09-11-2001	Yang et al.	
	AL4*	US-6,306,441	10-23-2001	Moore, Jr. et al.	
	AM4*	US-6,322,749	11-27-2001	McCarthy et al.	
	AN4*	US-6,352,725	03-05-2002	Torres et al.	
	AO4*	US-6,375,991	04-23-2002	Moore, Jr.	
	AP4*	US-6,419,879	07-16-2002	Cooper et al.	
	AQ4*	US-6,423,267	07-23-2002	Yang et al.	
	AR4*	US-6,478,972	11-12-2002	Shim et al.	
	AS4*	US-6,533,958-A1	03-18-2003	Shim et al.	
	AT4*	US-6,652,889-A1	11-25-2003	Moore, Jr. et al.	
	AU4*	US-6,660,307-A1	12-09-2003	Zolotarsky et al.	
	AV4*	US-6,740,253-A1	05-25-2004	Vohra et al.	
A.P./	AW4	US-6,669,904	12-30-2003	Yang et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	1 <sup>o</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
A.P./	BA	GB-644	01-22-1910	Peter		✓
↓	BB	GB-365558	01-14-1932	Geoffrey Robert St John et al.		✓
	BC	GB-526952	09-30-1940	Alfred Romwalter et al.		✓
	BD	GB-763383	12-12-1956	Heinz Wallrath		✓
	BE	GB-1355359	06-05-1974	Diversey Ltd		✓
	BF	WO-00/34186	06-15-2000	Stellar Technology Company		✓
	BG	WO-90/15780	12-27-1990	Univ Houston		✓
	BH	WO-97/20546	06-12-1997	Procter & Gamble		✓
	BI	WO-97/20909	06-12-1997	Procter & Gamble		✓
	BJ	WO-97/34827	09-25-1997	Nalco Chemical Co		✓
	A.P./					

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Sheet	4	of	11	Attorney Docket Number	SU-7073-L

/A P /	BK	WO-97/43392	11-20-1997	Procter & Gamble		✓
	BL	WO-98/15609	04-16-1998	Procter & Gamble		✓
	BM	WO-99/06320	02-11-1999	Nalco Chemical Co		✓
	BN	WO-99/32596	07-01-1999	Johnson & Son Inc S C		✓
	BO	WO-99/55627	11-04-1999	Nalco Chemical Co		✓
	BP	WO-89/10696	11-16-1989	Great Lakes Chemical Corp		✓
	BQ	WO-96/14092-A1	05-17-1996	Grace W R & Co		✓
	BR	WO-96/30562	10-03-1996	Electrocatalytic Inc		✓
	BS	GB-763383	12-12-1956	Heinz Wallrath		✓
	BT	GB-2302687	01-29-1997	Memtec Ltd		✓
↓	BU	WO-99/62339	12-09-1999	Albemarle Corp		✓
	BV	WO-00/58532	10-05-2000	Nalco Chemical Co		✓
/A P /	BW	WO-03/093171-A1	11-13-2003	Bromine Compounds Ltd		✓

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. \* Applicant's unique citation designation number (optional). \* See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \* Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \* Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
/A P /	CA	W. Büchner et al., <i>Industrial Inorganic Chemistry</i> , p. 180 (1989)		
	CB	M.W. Lister, Decomposition of Sodium Hypochlorite: The Uncatalyzed Reaction, pp. 465, 473-76, and 478 (1956)		
	CC	F.A. Cotton et al., <i>Advanced Inorganic Chemistry</i> , p. 566 (1999)		
	CD	J.F. Mills, <i>The Chemistry of Bromine Chloride in Waste Water Disinfection</i> , Paper Presented to the American Chemical Society Division of Water, Air, and Waste Chemicals (Aug. 1973)		
	CE	Dow Chemical Company, Dow BrCl Newsletter (Inorganic Chemicals Dept.) (Jul. 1979)		
	CF	J.F. Mills et al., <i>Bromine Chloride: An Alternative to Bromine</i> , Ind. Eng. Chem. Prod. Res. Develop., vol. 12, no. 3 pp. 160-165 (1973)		
	CG	Z.E. Jolles, <i>Bromine and its Compounds</i> , pp. 68, 364, 365 (1966)		
	CH	Z.E. Jolles, <i>Bromine and its Compounds</i> , p. 30 (1966)		
	CI	Clare, A.S., "Marine Natural Product Antifoulants: Status and Potential," <i>Biofouling</i> (1996) 9: 211-229		
	CJ	S. Tsukamoto, et al., "Ceratinamides A and B: New Antifouling Dibromotyrosine Derivatives from the Marine Sponge <i>Pseudoceratina purpurea</i> ," <i>Tetrahedron</i> (1996) 52: 8181-8186		
	CK	W. Miki, K. Kon-ya, and S. Mizobuchi, "Biofouling and Marine Biotechnology: New Antifoulants from Marine Invertebrates," <i>Journal of Marine Biotechnology</i> (1996) 4: 117-120		
	CL	H. Genthe, "The Incredible Sponge," <i>Smithsonian</i> (August 1998) 29: 50-58		
	CM	M. Givskov, et al., "Eukaryotic Interference with Homoserine Lactone-Mediated Prokaryotic Signaling," <i>Journal of Bacteriology</i> (1996) 178: 6618-6622		
↓	CN	F.W. Tanner and G. Pitner, "Germicidal Action of Bromine," <i>Proceedings of the Society for Experimental Biology and Medicine</i> (1939) 40: 143-145		
/A P /	CO	T. Kristoffersen and I.A. Gould, "Effect of Sodium Bromide on the Bactericidal Effectiveness of		
Examiner Signature	/Alton Pryor/		Date Considered	01/28/2008

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Sheet	5	of	11	Attorney Docket Number	SU-7073-L

A.P./		Hypochlorite Sanitizers of High Alkalinity," Journal of Dairy Science (1958) 41: 950-955	
	CP	"Legionellosis Guideline: Best Practices for Control of Legionella," (Houston, TX: Cooling Tower Institute, February 2000), 8 pages	
	CQ	W.A. Brungs, "Effects of Residual Chlorine on Aquatic Life," Journal of the Water Pollution Control Federation (1973) 45: 2180-2193	
	CR	D. Vanderpool, M. Killoran, and R. Sergeant, "Improving the Corrosion Inhibitor Efficiency of Tolytriazole in the Presence of Chlorine and Bromine," paper 157 (Corrosion 87, San Francisco, CA, 1987), ppg 157/1-157/9	
	CS	B.R. Sook, T.F. Ling, and A.D. Harrison "A New Thixotropic Form of Bromochlorodimethylhydantoin: A Case Study," paper 03715 (Corrosion 2003, Houston, TX: NACE International, 2003), ppg 1-16	
	CT	D. Ren, J.J. Sims, and T.K. Wood, "Inhibition of Biofilm Formation and Swarming of <i>Bacillus subtilis</i> by (5Z)-4-Bromo-5-(Bromomethylene)-3-Butyl-2(5H)-Furanone," Letters In Applied Microbiology (2002) 34: 293-299	
	CU	J.A. McCarthy, Journal of the New England Water Works Association (1944) 58: 55-68	
	CV	G.U. Houghton, "The Bromine Content of Underground Waters. Part II. Observations on the Chlorination of Water Containing Free Ammonia and Naturally Occuring Bromide", Journal of the Society of the Chemical Industry (1946) 65: 324-328	
	CW	M.E. Weeks, "The Discovery of the Elements: XVII. The Halogen Family," Journal of Chemical Education (1932) 9: 1915-1939	
	CX	A.J. Balard, <i>Annales de Chemie et de Physique</i> (1826), vol 32, ppg 371-372	
	CY	H.S. Rzepa, "Elemental and Molecular Heritage: An Internet-Based Display," <i>Molecules</i> (1998) 3: 94-99	
	CZ	B. Grinbaum and M. Friedman, "Bromine," in <i>Kirk-Othmer Encyclopedia of Chemical Technology</i> 4th Ed. (New York, NY: John Wiley and Sons, Inc. 2001), vol 4, ppg 548-549	
	CA1	F. Yaron, "Bromine Manufacture: Technology and Economic Aspects," in "Bromine and Its Compounds," Z.E. Jolles, ed., pp 3-11, and 41 (New York, NY: Academic Press, 1966)	
	CB1	"Bromine Brine," Arkansas Geological Commission, web address <a href="http://www.state.ar.us/agc/bromine.htm">www.state.ar.us/agc/bromine.htm</a> ; 1 page	
	CC1	R. D. Bartholomew, "Bromine-based Biocides for Cooling Water Systems: A Literature Review," Paper IWC 98-74 (Pittsburgh, PA: Engineers' Society of Western Pennsylvania, 1998), 30 pages	
	CD1	T.D. Beckwith and J.R. Moser, Journal of the American Water Works Association (1933) 25: 367-374	
	CE1	D.R. Wood and E.T. Illing, <i>Analyst</i> (1930), Royal Society of Chemistry, The Analyst, 55: 125-126	
	CF1	O. Wyss and R.J. Stockton, "The Germicidal Action of Bromine," <i>Arch. Biochem.</i> (1947) 12:267-271	
	CG1	E.A. Shilov and J. N. Gladchikova, "On the Calculation of the Dissociation Constants of Hypohalogenous Acids from Kinetic Data," <i>Journal of the American Chemical Society</i> (1938) 60: 490-491	
	CH1	G.M. Fair, et al., "The Behavior of Chlorine as a Water Disinfectant," <i>Journal of the American Water Works Association</i> (1948) 40: 1051-1061	
	CI1	E.K. Rideal and U.R. Evans, "The Effect of Alkalinity on the Use of Hypochlorites," <i>Journal of the Society of the Chemical Industry</i> (1921) 40: 64R-66R	
	CJ1	C.K. Johns, "Germicidal Power of Sodium Hypochlorite," <i>Industrial and Engineering Chemistry</i> (1934) 26: 787-788	
↓	CK1	G.R. Dychala, "Chlorine and Chlorine Compounds" in <i>Disinfection, Sterilization, and Preservation</i> 4th Ed., S.S. Block, ed., pp. 137-138 and 149-51. (Philadelphia, PA: Lea &	

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Sheet	6	of	11	Attorney Docket Number	SU-7073-L

/A.P./		Febiger, 1991)	
/A.P./	CL1	H. Farkas-Himsley, "Killing of Chlorine-Resistant Bacteria by Chlorine-Bromine Solutions," <i>Applied Microbiology</i> (1964) 12: 1-6	
	CM1	P.W. Kabler, "Relative Resistance of Coliform Organisms and Enteric Pathogens in the Disinfection of Water with Chlorine," <i>J. American Water Works Association</i> (1951) 43: 553-560	
	CN1	"Legionella 2003: An Update and Statement by the Association of Water Technologies (AWT)," (McLean, VA: Association of Water Technologies, 2003). ppg 1-33	
	CO1	"Control of Legionella in Cooling Towers: Summary Guidelines," (Madison, WI: Wisconsin Division of Health, August 1987), 28 pages	
	CP1	"Chlorination," <i>Betz Handbook of Industrial Water Conditioning</i> , Seventh Edition, pp 24-29 (Trevose, PA: Betz Laboratories, Inc., 1976)	
	CQ1	"Minimizing the Risk of Legionellosis Associated with Building Water Systems," <i>ASHRAE Guideline 12-2000</i> (Atlanta, GA: ASHRAE, 2000) 19 pages	
	CR1	A. Smith, et al., "Bromine vs. Gaseous Chlorine: A Comprehensive Review of Case Histories," paper 637 ( <i>Corrosion</i> 93, NACE Annual Conference and Corrosion Show, 1993), ppg 637/1 - 637/12	
	CS1	A.E. Gillam and R.A. Morton, "The Absorption Spectra of Halogens and Inter-Halogen Compounds in Solution in Carbon Tetrachloride," <i>Proceedings of the Royal Society (London)</i> (1929) vol. 124: 604-616	
	CT1	J.K. Johannesson, "The Bromination of Swimming Pools," <i>American Journal of Public Health</i> (1960) 50: 1731-1736	
	CU1	J.D. Johnson and W. Sun, "Bromine Disinfection of Wastewater," in "Disinfection-Water and Wastewater," J.D. Johnson, ed., pp 179-191 (Ann Arbor, MI: Ann Arbor Science, 1975)	
	CV1	J.K. Johannesson, "Anomalous Bactericidal Action of Bromamine," <i>Nature</i> (1958) 181: 1799-1800	
	CW1	J.C. Albright, "Liquid Bromine Removes Obstinate Algae from 10,000 gpm Tower for \$2.10/Day," <i>Petroleum Processing</i> (1948) 3: 421-422	
	CX1	Y. Kott, "Effect of Halogens on Algae-III. Field Experiment," <i>Water Research</i> (1969) 3: 265-271	
	CY1	N. Betzer and Y. Kott, "Effect of Halogens on Algae-II. <i>Cladophora</i> sp.," <i>Water Research</i> (1969) 3: 257-264. 13 pages	
	CZ1	Y. Kott and J. Edlis, "Effect of Halogens on Algae-I. <i>Chlorella Sorokiniana</i> ," <i>Water Research</i> (1969) 3: 251-256	
	CA2	P.J. Sullivan and B. J. Hepburn, "The Evolution of Phosphonate Technology for Corrosion Inhibition," paper 496 (Houston, TX: NACE International, 1995) ppg 496/01 - 496/13	
	CB2	A.T. Palin, "The Determination of Free and Combined Chlorine in Water by the Use of Diethyl-p-phenylene diamine," <i>Journal of the American Water Works Association</i> (1957) 49: 873-880	
	CC2	C.W. Kruse, et al., "Halogen Action on Bacteria, Viruses, and Protozoa," in <i>Proc. Natl. Specialty Conference on Disinfection</i> , pp113-136 (New York, NY: ASCE, 1970)	
	CD2	R. Aull and T. Krell, "Design Features and their Affect on High Performance Fill," paper TP00-01 (Houston, TX: Cooling Technology Institute, 2000) ppg 1-31	
	CE2	S. Barratt and C.P. Stein, "On Bromine Chloride," <i>Proceedings of the Royal Society (London)</i> (1929) vol 122: 582-588	
	CF2	J.F. Mills, "Interhalogens and Halogen Mixtures as Disinfectants," in <i>Disinfection-Water and Wastewater</i> , J.D. Johnson, ed., pp 113-143 (Ann Arbor, MI: Ann Arbor Science, 1975)	
	CG2	E.C. Wackenhuth and G. Levine, "An Investigation of Bromine Chloride as a Biocide in Condenser Cooling Water," (Pittsburgh, PA: Engineer's Society of Western Pennsylvania, 1974), pgs 1-14	
/A.P./	CH2	L.H. Bongers. T.P. O'Connor and D.T. Burton. "Bromine Chloride - An Alternative to Chlorine	

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
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		Filing Date	February 16, 2001		
		First Named Inventor	Robert M. Moore		
		Art Unit	1816		
		Examiner Name	A. N. Pryor		
Sheet	7	of	11	Attorney Docket Number	SU-7073-L

/A.P./		for Fouling Control in Condenser Cooling Systems," report no. EPA-600/7-77-053 (research Triangle Park, NC: EPA Office of Research and Development, May 1977), 4 pages	
	CI2	B.H. Keswick, "Bromine-Chloride as an Alternative Disinfectant to Chlorine of Human Enteric Viruses and Other Pathogens in Water and Wastewater", Doctoral Dissertation, University of Hawaii (Ann Arbor, MI: University Microfilms International, 1979), 16 pages	
	CJ2	R.M. Moore, et al., "Use of a New Bromine-Based Biocide in a Medium -Sized Cooling Tower," paper IWC-97-51 (Pittsburgh, PA: Engineers' Society of Western Pennsylvania, 1997), 6 pages	
	CK2	G.D. Nelson, "Chloramines and Bromamines," in Kirk Othmer Encyclopedia of Chemical Technology, Vol. 5, pp 565-580 (New York, NY: John Wiley and Sons, 1979)	
	CL2	Z. Zhang and J.V. Matson, "Organic Halogen Stabilizers: Mechanisms and Disinfection Efficiencies," paper TP89-05 (Houston, TX: Cooling Tower Institute, 1989), ppg 1-19	
	CM2	J.C. Peterson, "Practical Air Washer Treatment in Synthetic Fiber Manufacturing Plants," paper IWC-87-39 (Pittsburgh, PA: Engineers' Society of Western Pennsylvania, 1987), pgs 366-370	
	CN2	C. Spurrell and J.S. Clavin, "Solid Halogen Donor Economically Answers the Challenge of SARA Title III and Corrosion Concerns," paper 474 (Corrosion 93, NACE Annual Conference and Corrosion Show, 1993), ppg 474/1 - 474/15	
	CO2	D.S. Larson, et al., "Improved Microbiological Control Using Halogen Donors In a Pasteurizer," MBAA Technical Quarterly (1993) 30: 173-178	
	CP2	P. Sweeny, M. Ludensky, and O. Barokhov, "Mill Performance of a Brominated Methylethylhydantoin Slimicide," pp 437-447, Proceedings of the 1999 TAPPI Papermakers Conference (Norcross, GA: TAPPI, 1999)	
	CQ2	F.J. Himpler, P.G. Sweeny, and M. L. Ludensky, "The Benefits of a Hydantoin-Based Slimicide in Papermaking Applications," APPITA Journal (September 2001) 54: 427-430	
	CR2	C.J. Nalepa, et al., "The Control of Bacteria on Surfaces: Effectiveness of Bromine-Based Biocides towards Microbial Biofilms and Biofilm-Associated <i>Legionella pneumophila</i> ," paper TP02-13 (Houston, TX: Cooling Technology Institute, 2002), 22 pages	
	CS2	C.J. Nalepa, et al., "The Activity of Oxidizing Biocides towards <i>Legionella pneumophila</i> and the Impact of Biofilms on its Control, paper 01278 (Houston, TX: NACE International, 2001, 21 pages	
	CT2	C.J. Nalepa, et al., "Case Study: A Comparison of Bromine-Based Biocides in a Medium-Size Cooling Tower," paper TP98-09 (Houston, TX: Cooling Tower Institute, 1998), 22 pages	
	CU2	C.J. Nalepa, et al., "Strategies for Effective Control of Surface-Associated Microorganisms: A Literature Perspective," IWC-02-01 (Pittsburgh, PA: Engineers' Society of Western Pennsylvania, 2002), 19 pages	
	CV2	C.J. Nalepa, et al., "Case Study: Minimization of Corrosion Using Activated Sodium Bromide in a Medium-Size Cooling Tower," paper 485 (Corrosion 96 NACE International Annual Conference and Exposition, Houston, TX: Nace International, 1996) 485/1 - 485/12	
	CW2	C.J. Nalepa, J.N. Howarth, and R.M. Moore, "A New Single-Feed Liquid Bromine Biocide for Treatment of Cooling Water," Presented at the AWT 1999 Annual Convention and Exposition, (McLean, VA: Association of Water Technologies, 1999), 17 pages	
	CX2	C.J. Nalepa, J.N. Howarth, and F.D. Azarnia, "Factors to Consider When Applying Oxidizing Biocides in the Field," paper 02223 (Houston, TX: NACE International, 2002), 20 pages	
	CY2	C. J. Nalepa, H. Ceri, and C.A. Stremick, "A Novel Technique for Evaluating the Activity of Biocides Against Biofilm Bacteria," paper 00347 (Corrosion 2000, Houston, TX: NACE International, 2000), ppg 00347/1 - 00347/19	
↓ /A.P./	CZ2	C. J. Nalepa, "New Bromine-Releasing Granules for Microbiological Control of Cooling Water," paper 03716 (Corrosion 2003 Houston, TX: NACE International, 2003), ppg 03716/1 - 03716/15	

Examiner Signature	/Alton Pryor/	Date Considered	01/28/2008
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		First Named Inventor	Robert M. Moore		
		Art Unit	1616		
		Examiner Name	A. N. Pryor		
Sheet	8	of	11	Attorney Docket Number	SU-7073-L

I.A.P./	CA3	Cortes CES, et al., "Revisiting the Kinetics and Mechanism of Bromate-Bromide Reaction," <i>J. Braz Chem. Soc.</i> , 12(6): 775-779 (2001)	
	CB3	Current Technology of Chlorine Analysis for Water and Wastewater (Hach Technical Information Series - Booklet No. 17)	
	CC3	E. McCall, J.E. Stout, V.L. Yu, and R. Vidic, "Efficacy of Biocides against Biofilm-Associated <i>Legionella</i> in a Model System," paper IWC 99-19 (Pittsburgh, PA: Engineers' Society of Western Pennsylvania, 1999), 7 pages	
	CD3	Excerpts Fieser and Fieser, <i>Introduction to Organic Chemistry</i> (1957), p. 192.	
	CE3	Excerpts from Loudon, C., <i>Organic Chemistry</i> (2nd Edition). Menlo Park, CA: Benjamin/Cummings Publishing Co. (1988), p. A-11.	
	CF3	Affidavit of Shunong Yang, William F. McCoy and Anthony W. Dallmier Under 37 C.F.R. § 1.131 with Exhibit; presumably made public on Sept. 11, 2001, 13-pages. This Affidavit is contained in the File Wrapper of U.S. Application No. 09/518,435 now U.S. 6,287,473, issued Sept. 11, 2001	
	CG3	Attached Appendix B of the Expert Declaration of Gary McKinnie (Mathematical Calculations of Ph Values in Goodenough Examples Prior to Bromine Addition), Moore Exhibit 1019.	
	CH3	F. P. Yu, et al., "Innovations in Fill Fouling Control," IWC 00-03 (Pittsburgh, PA: Engineers' Society of Western Pennsylvania, 2000), ppg 26-31	
	CI3	F.P. Yu, et al., "Cooling Tower Fill Fouling Control in a Geothermal Power Plant," paper 529 (Corrosion 98, Houston, TX: NACE International, 1998), pg. 529/1 - 529/11	
	CJ3	Howarth et al., "First Field Trials of Single-Feed, Liquid Bromine Biocide For Cooling Towers", Paper TP00-09 (Houston, Tx.: Cooling Technology Institute, Jan. 31-Feb 2, 2000), 17 pages	
	CK3	Howarth, J.N., et al. "A New, Bromine-Releasing Solid for Microbiological Control of Cooling Water", IWC-01-05, (Pittsburgh, PA: Engineers' Society of Western Pennsylvania, 2001), ppg 1-7	
	CL3	J.C. Conley, E.H. Puzig, and J.E. Alleman, "Bromine Chemistry - An Alternative to Dechlorination in Cooling Water and Wastewater Disinfection," IWC-87-42 (Pittsburgh, PA: Engineers' Society of Western Pennsylvania, 1987). Ppg 389-395	
	CM3	J.W. Costerton and P.S. Stewart "Battling Biofilms," <i>Scientific American</i> (July 2001) 285: 75-81	
	CN3	L. McNamee, "Efficacy of Hypochlorite vs. Hypobromite in the Removal of a <i>Pseudomonas aeruginosa</i> Biofilm," summer intern report (Bozeman, MT: Montana State University, Center for Biofilm Engineering, 2000). Ppg 1-23	
	CO3	<i>Guidelines for the Control of Legionnaires' Disease</i> , (Melbourne, Australia: Health Department Victoria, 1989, (reprinted in 1999), 9 pages	
	CP3	M. Enzien and B. Yang, "On-line Performance Monitoring of Treatment Programs for MIC Control," paper 01279 (Corrosion 2001, Houston, TX: NACE International 2001), 13 pages	
	CQ3	M. Lewin and M. Avarahami, "The Decomposition of Hypochlorite-Hypobromite Mixtures in the pH Range 7-10," <i>Journal of the American Chemical Society</i> , (1955) 77: 4491-4498	
	CR3	M.L. Ludyanskiy and F.J. Himpler, "The Effect of Halogenated Hydantoin on Biofilms," paper 405 (Corrosion 97, Houston, TX: NACE International, 1997) ppg 405/1 - 405/11	
	CS3	M.R. Freije, "Legionellae Control in Health Care Facilities: A Guide for Minimizing Risk," (Indianapolis, IN: HC Information Resources, Inc. 1996, ppg 25-41	
	CT3	<i>Principles of Modern Chemistry</i> (1986), D.W. Oxtoby et al.. New York: Saunders college Publishing, pp. 4-7.	
	CU3	<i>Quantitative Chemical Analysis</i> , 3rd ed., D.C. Harris (1991). New York: W.H. Freeman & Co., pp. 181, 195-197.	
✓	CV3	R. Elsmore, "Development of Bromine Chemistry in Controlling Microbial Growth in Water Systems," <i>International Biodeterioration and Biodegradation</i> (1994) 245-253	
I.A.P./	CW3	R.M. Moore, W.C. Lotz, and V.R. Perry, "Activated Sodium Bromide-Artificial Marsh	

Examiner Signature	/Alton Pryor/	Date Considered	01/28/2008
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				First Named Inventor	Robert M. Moore
				Art Unit	1818
				Examiner Name	A. N. Pryor
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/A.P./		Treatment: A Successful Plant-Wide Program," paper IWC-95-61 (Pittsburgh, PA: Engineers' Society of Western Pennsylvania, 1995). 12 pgs	
	CX3	Regulatory Advisory, Waterborne Pathogens - Compliance with Joint Commission on Accreditation of Healthcare Organizations Requirements, web address <a href="http://www.ashe.org/media/water.html">www.ashe.org/media/water.html</a> , visited 6/12/2002, 9 pages	
	CY3	W.F. McCoy, et al., "Strategies Used in Nature for Microbial Fouling Control: Applications for Industrial Water Treatment," paper 520 (Houston, TX: NACE International, 1998)	
	CZ3	W.G. Characklis and K.C. Marshall, ed., <i>Biofilms: A Basis for an Interdisciplinary Approach</i> , (New York, NY: John Wiley & Sons, 1987) pg 3-5	
	CA4	W.M. Thomas, J. Eccles, and C. Fricker, "Laboratory Observations of Biocide Efficiency against <i>Legionella</i> in Model Cooling Tower Systems," paper SE-99-3-4 (Atlanta, GA: ASHRAE Transactions, 1999), ppg 1-17	
	CB4	Z. Zhang "Disinfection Efficiency and Mechanisms of 1-Bromo-3-Chloro-5,5-Dimethylhydantoin," Doctoral Dissertation, University of Houston, May 1988 ppg 160, 162, 163	
	CC4	T.C. Kuechler, "A Towerbrom® Progress Report, (McLean, VA: Association of Water Technologies, 1993), ppg 1-15	
	CD4	T.C. Kuechler, et al., "Development of Monsanto's Towerbrom® Microbiocide, a New Bromine Microbiocide for Recirculating Water Systems," (McLean, VA: Association of Water Technologies, 1991), 1991 AWT Conference, pg. 1-23	
	CE4	J.F. Mills, "The Chemistry of Bromine Chloride in Waste Water Disinfection," Paper presented to the American Chemical Society Division of Water, Air and Waste Chemicals, August 1973, 20 pages.	
	CF4	Moore's Preliminary Motion No. 1, Yang v. Moore, Interference No. 105,230.	
	CG4	Moore's Preliminary Motion No. 2, Yang v. Moore, Interference No. 105,230.	
	CH4	Moore Preliminary Motion 3, Yang v. Moore, Interference No. 105,230.	
	CI4	Moore Preliminary Motion 4, Yang v. Moore, Interference No. 105,230.	
	CJ4	Moore Preliminary Motion 5, Yang v. Moore, Interference No. 105,230.	
	CK4	Moore's Preliminary Motion 6, Yang v. Moore, Interference No. 105,230.	
	CL4	Moore Opposition 1 (Prelim Motion 1), Yang v. Moore, Interference No. 105,230.	
	CM4	Yang Alternative Preliminary Motion 1 to Substitute Count, Yang v. Moore, Interference No. 105,230.	
	CN4	Yang Miscellaneous Motion 1 to Vacate Interference No. 105,230 In Favor of Interference No. 105,222, Yang v. Moore, Interference No. 105,230.	
	CO4	Moore Opposition 1 (Miscellaneous Motion 1), Yang v. Moore, Interference No. 105,230.	
	CP4	Yang Opposition to Moore's Preliminary Motion 1, Yang v. Moore, Interference No. 105,230.	
	CQ4	Yang Opposition to Moore's Preliminary Motion 2, Yang v. Moore, Interference No. 105,230.	
	CR4	Yang Opposition 3, Yang v. Moore, Interference No. 105,230.	
	CS4	Yang Opposition 4, Yang v. Moore, Interference No. 105,230.	
	CT4	Yang Opposition 5, Yang v. Moore, Interference No. 105,230.	
	CU4	Moore Reply 1, Yang v. Moore, Interference No. 105,230.	
	CV4	Moore Reply 2, Yang v. Moore, Interference No. 105,230.	
	CW4	Moore Reply 3, Yang v. Moore, Interference No. 105,230.	
	CX4	Moore Reply 4, Yang v. Moore, Interference No. 105,230.	
	CY4	Moore Reply 5, Yang v. Moore, Interference No. 105,230.	
	CZ4	Yang Reply 1 to Moore's Opposition 1, Yang v. Moore, Interference No. 105,230.	
✓	CA5	Yang Reply 1, Yang v. Moore, Interference No. 105,230.	
/A.P./	CB5	Moore Request for Rehearing of the Decision on Moore Preliminary Motion 2, Yang v. Moore, Interference No. 105,230.	

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			First Named Inventor	Robert M. Moore	
			Art Unit	1616	
			Examiner Name	A. N. Pryor	
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/A.P./	CC5	Decision - Rehearing - Bd. R. 125(c) (Including Recommendation to Examiner - Bd. R. 127(c)), Yang v. Moore, Interference No. 105,230.	
	CD5	Judgment - Bd. R. 127, September 29, 2005, Yang v. Moore, Interference No. 105,230.	
	CE5	Moore Request for Rehearing of the Judgment, Yang v. Moore, Interference No. 105,230.	
	CF5	Decision - Interlocutory Motions, Yang v. Moore, Interference No. 105,230	
	CG5	Decision on Moore Preliminary Motions 2 and 3, Yang v. Moore, Interference No. 105, 230.	
	CH5	Summary of Decisions on Miscellaneous and Preliminary Motions, Yang v. Moore, Interference No. 105,230.	
	CI5	Moore Preliminary Motion 1, Yang v. Moore, Interference No. 105,223.	
	CJ5	Moore Preliminary Motion 2, Yang v. Moore, Interference No. 105,223.	
	CK5	Moore Preliminary Motion 3, Yang v. Moore, Interference No. 105,223.	
	CL5	Decision - Rehearing - Bd. R. 125(c) (Including Recommendation to Examiner - Bd. R. 127(c)), Yang v. Moore, Interference No. 105,223.	
	CM5	Moore Request for Rehearing of the Judgment, Yang v. Moore, Interference No. 105,223.	
	CN5	Judgment - Bd. R. 127, September 29, 2005, Yang v. Moore, Interference No. 105,223.	
	CO5	Moore Request for Rehearing of the Decision on Moore Preliminary Motion 3, Yang v. Moore, Interference No. 105,223.	
	CP5	Moore Exhibit 1106 (Amendment under 37 C.F.R. §1.607, S.N. 09/451,319), Yang v. Moore, Interference 105,222, 105,223, and 105,230.	
	CQ5	Moore Exhibit 1107 (Moore's Clean Copy of Claims), Yang v. Moore, Interference 105,222, 105,223, and 105,230.	
	CR5	Decision - Interlocutory Motions (Bd. R. 125(b)), September 13, 2005, Yang v. Moore, Interference No. 105,223.	
	CS5	Summary of Decisions on Miscellaneous and Preliminary Motions, Yang v. Moore, Interference No. 105,223.	
	CT5	Moore Reply 1, Yang v. Moore, Interference No. 105,223.	
	CU5	Moore Reply 2, Yang v. Moore, Interference No. 105,223.	
	CV5	Moore Reply 3, Yang v. Moore, Interference No. 105,223.	
	CW5	Yang Opposition 1, Yang v. Moore, Interference No. 105,223.	
	CX5	Yang Opposition 2, Yang v. Moore, Interference No. 105,223.	
	CY5	Yang Opposition 3, Yang v. Moore, Interference No. 105,223.	
	CZ5	Moore Opposition 1 (Prelim. Motion 1), Yang v. Moore, Interference No. 105,223.	
	CA6	Moore Opposition 1 (Misc. Motion 1), Yang v. Moore, Interference No. 105,223.	
	CB6	Yang Alternative Preliminary Motion 1 to Designate Claims as not Corresponding to Count 1, Yang v. Moore, Interference No. 105,223.	
	CC6	Yang Miscellaneous Motion 1 to Vacate Interference No. 105,223 in Favor of Interference No. 105,222, Yang v. Moore, Interference No. 105,223.	
	CD6	Yang Reply 1 to Moore Opposition 1 (Misc. Motion 1), Yang v. Moore, Interference No. 105,223.	
	CE6	Decision on Moore Preliminary Motion 3, Yang v. Moore, Interference No. 105, 223.	
	CF6	Yang Reply 1 to Moore Opposition 1 (Prelim. Motion 1), Yang v. Moore, Interference No. 105,223.	
	CG6	Moore Preliminary Motion 1, Yang v. Moore, Interference No. 105,222.	
	CH6	Moore's Preliminary Motion No. 2, Yang v. Moore, Interference No. 105,222.	
	CI6	Moore Preliminary Motion 3, Yang v. Moore, Interference No. 105,222.	
	CJ6	Moore Preliminary Motion 4, Yang v. Moore, Interference No. 105,222.	
/A.P./	CK6	Moore Preliminary Motion 5, Yang v. Moore, Interference No. 105,222.	

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		Art Unit	1616		
		Examiner Name	A. N. Pryor		
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/A.P./	CL6	Yang Opposition 1, Yang v. Moore, Interference No. 105,222.	
	CM6	Yang Opposition to Moore's Preliminary Motion 2, Yang v. Moore, Interference No. 105,222.	
	CN6	Yang Opposition 3, Yang v. Moore, Interference No. 105,222.	
	CO6	Yang Opposition to Moore's Preliminary Motion 4, Yang v. Moore, Interference No. 105,222.	
	CP6	Yang Opposition 5, Yang v. Moore, Interference No. 105,222.	
	CQ6	Yang Opposition 6, Yang v. Moore, Interference No. 105,222.	
	CR6	Yang Miscellaneous Motion 1 to Add Patent Nos. 6,156,229, 6,287,473, 6,123,870 and Patent Application No. 09/785,890 to Interference, Yang v. Moore, Interference No. 105,222.	
	CS6	Yang Alternative Preliminary Motion 2 to Substitute Count, Yang v. Moore, Interference No. 105,222.	
	CT6	Yang Reply 1 (Misc. Motion 1), Yang v. Moore, Interference No. 105,222.	
	CU6	Moore Opposition 1 (Prelim. Motion 1), Yang v. Moore, Interference No. 105,222.	
	CV6	Moore Opposition 1 (Misc. Motion 1), Yang v. Moore, Interference No. 105,222.	
	CW6	Moore Opposition 2, Yang v. Moore, Interference No. 105,222.	
	CX6	Moore Responsive Motion 6, Yang v. Moore, Interference No. 105,222.	
	CY6	Judgment - Bd. R. 127, September 29, 2005, Yang v. Moore, Interference No. 105,222.	
	CZ6	Yang Reply 2, Yang v. Moore, Interference No. 105,222.	
	CA7	Yang Reply 1 to Moore's Opposition 1, Yang v. Moore, Interference No. 105,222.	
	CB7	Yang Preliminary Motion 1 to Designate Claims as not Corresponding to the Count, Yang v. Moore, Interference No. 105,222.	
	CC7	Moore Reply 1, Yang v. Moore, Interference No. 105,222.	
	CD7	Moore Reply 2, Yang v. Moore, Interference No. 105,222.	
	CE7	Moore Reply 3, Yang v. Moore, Interference No. 105,222.	
	CF7	Moore Reply 4, Yang v. Moore, Interference No. 105,222.	
	CG7	Moore Reply 5, Yang v. Moore, Interference No. 105,222.	
	CH7	Moore Reply 6, Yang v. Moore, Interference No. 105,222.	
	CI7	Decision - Interlocutory Motions (Bd. R.125(b)), Yang v. Moore, Interference No. 105,222.	
	CJ7	Summary of Decisions on Miscellaneous and Preliminary Motions, Yang v. Moore, Interference No. 105,222	
	CK7	Decision on Moore Preliminary Motions 2 and 3, Yang v. Moore, Interference No. 105,222	
/A.P./	CL7	Willard et al., "Elementary Quantitative Analysis", Third Edition, Chapter XIV, 1933, ppg. 261-271	
	CM7	B.S. Ault et al., "Infrared and Raman Spectra of the $M^+Cl_3^-$ Ion Pairs and Their Chlorine-Bromine Counterparts Isolated in Argon Matrices", Journal of Chemical Physics, 1976, Vol. 64, No. 12, ppg. 4853 - 4859	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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